

Chordal bipartite graphs of bounded tree- and clique-width[☆]V. Lozin^a, D. Rautenbach^b^a*RUTCOR, Rutgers University, 640 Bartholomew Rd., Piscataway, NJ 08854-8003, USA*^b*Forschungsinstitut für Diskrete Mathematik, Universität Bonn, Lennéstr. 2, D-53113 Bonn, Germany*

Received 31 January 2003; received in revised form 7 August 2003; accepted 4 February 2004

Abstract

A bipartite graph is chordal bipartite if every cycle of length at least six has a chord. In the class of chordal bipartite graphs the tree-width and the clique-width are unbounded.

Our main results are that chordal bipartite graphs of bounded vertex degree have bounded tree-width and that k -fork-free chordal bipartite graphs have bounded clique-width, where a k -fork is the graph arising from a $K_{1,k+1}$ by subdividing one edge once. (Note that a bipartite graph has vertex degree at most k if and only if it is $K_{1,k+1}$ -free.) This implies polynomial-time solvability for a variety of algorithmical problems for these graphs.

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Keywords: Bipartite graph; Chordal graph; Tree-width; Clique-width; Forbidden induced subgraph

1. Introduction

The tree- and clique-widths are two graph parameters which are of interest due to the fact that many problems being NP-hard in general graphs become polynomial time solvable when restricted to graphs, where one of these parameters is bounded. In the present paper we focus on the class of chordal bipartite graphs, where both parameters are unbounded, and detect some of its subclasses with bounded tree- or clique-width. For results related to other known classes of graphs the reader may refer to [6,8,15,16,18,22,23,25].

The class of chordal bipartite graphs is the proper subclass of bipartite graphs in which every cycle of length at least six has a chord. This class was introduced by Golumbic and Goss [17] in 1978 and has received a lot of attention.

Chordal bipartite graphs are useful in the study of linear programming, since the bipartite adjacency matrix of any graph in this class is totally balanced [3]. The class of chordal bipartite graphs includes many interesting subclasses such as forests, bipartite permutation graphs [32], convex and biconvex graphs [1], bipartite distance hereditary [4] and difference graphs [19]. Nevertheless, the class of chordal bipartite graphs is much larger than any of the listed subclasses (in the terminology of [30] it is superfactorial [31] whereas all listed subclasses are factorial). See Fig. 2 for inclusion relationships between some well-known classes of graphs.

Several important algorithmical problems such as *Hamiltonian cycle* [28], *Jump number* [27], *Steiner tree* and *Dominating set* [26] (with variations such as *Connected dominating set* and *Independent dominating set* [14]) remain NP-hard when restricted to chordal bipartite graphs. Moreover, many of these problems are NP-hard also for bipartite graphs with vertex degree at most 3 [7,24].

In contrast to these facts, we prove as our main results that chordal bipartite graphs of bounded vertex degree have bounded tree-width and that k -fork-free chordal bipartite graphs have bounded clique-width (see Fig. 1 for a k -fork).

[☆] This research has been carried out during the visit of Dieter Rautenbach to Rutgers University: assistance of RUTCOR and DIMACS is gratefully acknowledged.

E-mail addresses: lozin@rutcor.rutgers.edu (V. Lozin), rauten@or.uni-bonn.de (D. Rautenbach).

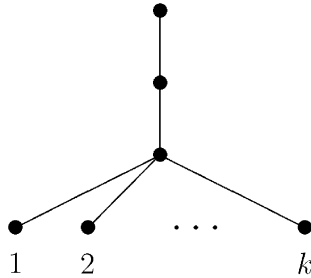
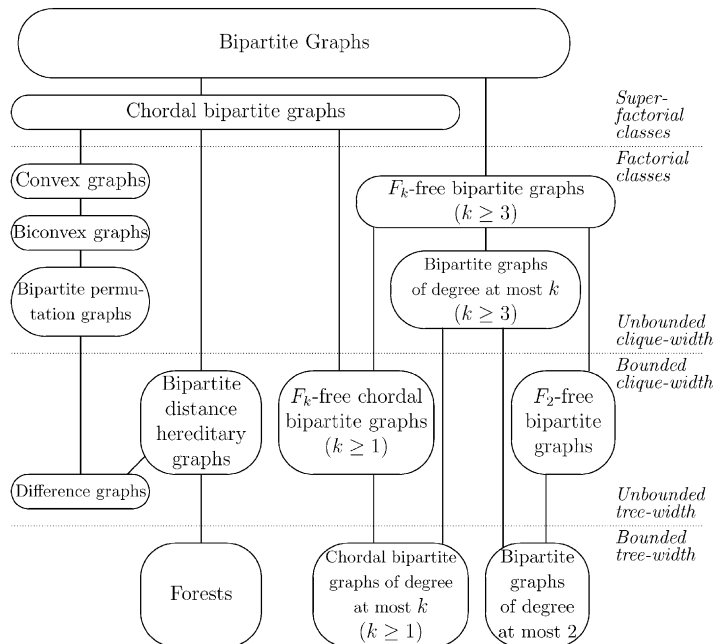
Fig. 1. A k -fork F_k .

Fig. 2. Inclusion relationships between some well-known classes of graphs.

Hence all problems expressible in monadic second-order logic become polynomial time solvable when restricted to chordal bipartite graphs of bounded vertex degree [9] and all problems expressible in monadic second-order logic using quantifiers on vertices but not on edges become polynomial time solvable when restricted to k -fork-free chordal bipartite graphs [11,12].

Note that a bipartite graph has vertex degree at most k if and only if it is $K_{1,k+1}$ -free. Therefore, k -fork-free chordal bipartite graphs naturally generalize chordal bipartite graphs of bounded vertex degree.

All graphs will be finite, undirected, without loops or multiple edges. For a graph G , we denote by $V(G)$ and $E(G)$ the set of vertices and edges of G , respectively. If u is a vertex of G , then $N_G(u)$ stands for the neighborhood of u in G (i.e. the set of vertices adjacent to u in G) and $d_G(u) = |N_G(u)|$ for the degree of u . The maximum degree of a vertex in G is denoted $\Delta(G)$. For a subset of vertices $U \subseteq V(G)$, we denote by $N_G(U)$ the neighborhood of U (i.e. the set of vertices not in U that have a neighbor in U in the graph G) and by $G - U$ the subgraph of G induced by the vertices in $V(G) \setminus U$. We say that a graph G is H -free if it does not contain the graph H as an induced subgraph. A subset of pairwise non-adjacent vertices is called independent, and a subset of pairwise adjacent vertices a clique. The maximum size of a clique of G is denoted $\omega(G)$.

A graph is chordal if every cycle of length at least 4 has a chord. We denote by $K_{r,s}$ the complete bipartite graph with partite sets of cardinality r and s , and by C_n the chordless cycle on n vertices. The graph that arises from $K_{1,k+1}$ by subdividing one edge once is denoted F_k and is called a k -fork (see Fig. 1).

The complement of a graph G is denoted by \bar{G} . If G is a bipartite graph with partite sets V_1 and V_2 , then the *bipartite complement* \bar{G}^{bip} of G is the graph with vertex set $V(G)$ and edge set $\{uv \mid uv \notin E(G), u \in V_1, v \in V_2\}$. (Note that the bipartite complement of a disconnected bipartite graph may depend on the choice of the partite sets.)

2. Definitions and preparatory statements

A *tree decomposition* (cf. [29]) of a graph G is a pair (T, \mathcal{W}) where T is a tree and \mathcal{W} assigns a set $W_t \subseteq V(G)$ to each vertex t of T such that

- (i) $V(G) = \bigcup_{t \in V(T)} W_t$,
- (ii) for every edge $uv \in E(G)$, there is some $t \in V(T)$ such that $u, v \in W_t$ and
- (iii) for every vertex $u \in V(G)$, the set $\{t \in V(T) \mid u \in W_t\}$ induces a subtree of the tree T .

The *width* of a tree decomposition (T, \mathcal{W}) is $\max_{t \in V(T)} |W_t| - 1$ and the *tree-width* $\text{tw}(G)$ of G is the minimum width of a tree decomposition of G .

We will only use the following two elementary and well-known properties of the tree-width (cf. [29]): If G is a graph and $U \subseteq V(G)$, then

$$\text{tw}(G) \leq |U| + \text{tw}(G - U). \quad (1)$$

Furthermore,

$$\text{tw}(G) = \min\{\omega(H) - 1 \mid H \text{ is chordal and } G \text{ is a subgraph of } H\}. \quad (2)$$

The *clique-width* $\text{cw}(G)$ [10] of a graph G is the minimum number of labels needed to construct G using the following four operations:

- (i) Creation of a new vertex v with label i (denoted $i(v)$).
- (ii) Disjoint union of two labeled graphs G and H (denoted $G \oplus H$).
- (iii) Connection of all vertices with label i to all vertices with label j ($i \neq j$, denoted $\eta_{i,j}$).
- (iv) Renaming label i to j (denoted $\rho_{i \rightarrow j}$).

Every graph can be defined by an algebraic expression using these four operations. For instance, the path on four consecutive vertices a, b, c, d can be defined as follows:

$$\eta_{3,2}(3(d) \oplus \rho_{3 \rightarrow 2}(\rho_{2 \rightarrow 1}(\eta_{3,2}(3(c) \oplus \eta_{2,1}(2(b) \oplus 1(a)))))).$$

Such an expression is called a *k-expression* if it uses at most k different labels. The clique-width of G is the minimum k for which there exists a k -expression defining G .

For a class of graphs with clique-width at most k , Courcelle et al. presented in [11] a number of optimization problems, which, given a graph G in the class and an $O(f(|V(G)|, |V(E)|))$ algorithm to construct a k -expression defining G , can be solved for G in time $O(f(|V(G)|, |V(E)|))$.

Comparing the tree-width and clique-width of a graph G , Courcelle and Olariu showed in [13] that

$$\text{cw}(G) \leq 2^{2\text{tw}(G)+2} + 1. \quad (3)$$

Remark. The proof of this inequality is constructive and permits to build an algebraic expression with bounded number of labels if a tree-decomposition of bounded width is available for G . According to [5] such a decomposition can be found in polynomial time.

Furthermore, Courcelle and Olariu proved that

$$\text{cw}(\bar{G}) \leq 2 \text{cw}(G) \quad (4)$$

for any graph G .

3. Results

Kloks and Kratsch [20] describe an efficient algorithm to determine the tree-width of chordal bipartite graphs. Their algorithm relies on the analysis of the maximal (with respect to inclusion) complete bipartite subgraphs. We will use some of their statements in the proof of our first main result.

Theorem 1. *Let Δ be a positive integer and let G be a chordal bipartite graph such that $\Delta(G) \leq \Delta$. Then $\text{tw}(G) \leq \Delta^2$.*

Proof. Denote by \mathcal{M} the set of maximal complete bipartite subgraphs H of G with partite sets $V_1(H)$ and $V_2(H)$ such that $|V_1(H)|, |V_2(H)| \geq 2$.

It follows from Theorems 3.1 and 4.1 in [20] that there is a mapping $C : \mathcal{M} \rightarrow 2^{V(G)}$ such that $C(H) \in \{V_1(H), V_2(H)\}$ for each $H \in \mathcal{M}$ and the graph G^* with vertex set $V(G)$ and edge set

$$E(G^*) = E(G) \cup \bigcup_{H \in \mathcal{M}} \{uv \mid u, v \in C(H), u \neq v\}$$

is chordal.

Let $u \in V(G)$ be such that $d_{G^*}(u) = \Delta(G^*)$. By definition, all neighbors of u in G^* are at distance at most 2 from u in G . Hence $\Delta(G^*) \leq \Delta + (\Delta - 1)\Delta = \Delta^2$. With the help of inequality (2) this implies that $\text{tw}(G) \leq \omega(G^*) - 1 \leq \Delta(G^*) \leq \Delta^2$ and the proof is completed. \square

Combining this theorem with inequality (3) and the remark after it, we obtain the following result.

Corollary 1. *In the class of chordal bipartite graphs of maximum vertex degree at most Δ the clique-width is bounded by a constant c depending on Δ and a c -expression defining a graph in the class can be constructed in polynomial time.*

We will establish the next main result using a series of statements some of which we found to be quite interesting for their own sake.

Our first result in this series is a relation between the clique-width of a bipartite graph and the clique-width of its bipartite complement, which is similar to inequality (4). Notice, however, that our result is not implied by Proposition 4.3 in [13] that proves inequality (4).

Proposition 1. *If G is a bipartite graph, then $\text{cw}(\tilde{G}^{\text{bip}}) \leq 4 \text{cw}(G)$.*

Proof. Let G be a bipartite graph with partite sets V_1 and V_2 . By the result of Courcelle and Olariu, we know that $\text{cw}(\tilde{G}) \leq 2 \text{cw}(G)$. We will transform a $\text{cw}(\tilde{G})$ -expression L of \tilde{G} into a $2 \text{cw}(\tilde{G})$ -expression of \tilde{G}^{bip} as follows:

- (i) Let I be the set of labels used by L and let $I' = \{i_1, i_2 \mid i \in I\}$.
- (ii) Replace every operation of the form $i(u)$ in L by $i_1(u)$ if $u \in V_1$ and $i_2(u)$ if $u \in V_2$.
- (iii) Replace every operation of the form $\rho_{i \rightarrow j}(\cdot)$ in L by $\rho_{i_1 \rightarrow j_1}(\rho_{i_2 \rightarrow j_2}(\cdot))$.
- (iv) Replace every operation of the form $\eta_{i,j}(\cdot)$ in L by $\eta_{i_1,j_2}(\eta_{i_2,j_1}(\cdot))$.

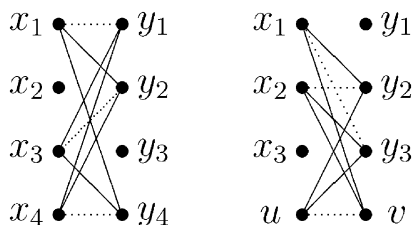
Clearly, the $2 \text{cw}(\tilde{G})$ -expression L' obtained in this way produces a graph G' with vertex set $V(G)$ whose edge set consists of all edges uv of \tilde{G} such that u and v lie in different partite sets of G . Hence $G' = \tilde{G}^{\text{bip}}$ and the proof is completed. \square

Our next result states that the bipartite complement of a chordal bipartite graph is not too far from being chordal bipartite itself.

Proposition 2. *If G is a chordal bipartite graph, then \tilde{G}^{bip} is either chordal bipartite or there is a set $X \subseteq V(G)$ such that X induces a chordless cycle of length six in \tilde{G}^{bip} and $V(G) \setminus (X \cup N_{\tilde{G}^{\text{bip}}}(X))$ is an independent set in \tilde{G}^{bip} .*

Proof. Let G be a chordal bipartite graph.

Claim 1. \tilde{G}^{bip} is C_{2l} -free for $l \geq 4$.

Fig. 3. Two chordless cycles of length 6 in G .

Proof. For contradiction, we assume that \bar{G}^{bip} contains a chordless cycle C_{2l} for some $l \geq 4$ as an induced subgraph. If $l = 4$, then G contains \bar{C}_8^{bip} as an induced subgraph. Since \bar{C}_8^{bip} is isomorphic to C_8 , this is a contradiction to the assumption that G is chordal bipartite.

Hence let $x_1 y_1 x_2 y_2 \dots x_l y_l$ for $l \geq 5$ be a chordless cycle in \bar{G}^{bip} . Since $x_1 y_1, x_3 y_2, x_4 y_4 \notin E(G)$, we obtain that $x_1 y_2 x_4 y_1 x_3 y_4$ is a chordless cycle of length 6 in G which is a contradiction (see the left part of Fig. 3). Hence \bar{G}^{bip} is C_{2l} -free for $l \geq 4$ and Claim 1 is proved. \square

Claim 2. \bar{G}^{bip} does not contain a chordless cycles C of length 6 and an edge uv such that $\{u, v\} \subseteq V(G) \setminus (V(C) \cup N_{\bar{G}^{\text{bip}}}(V(C)))$.

Proof. For contradiction, we assume that $C_1 : x_1 y_1 x_2 y_2 x_3 y_3 x_1$ is a chordless cycle in \bar{G}^{bip} and that $uv \in E(\bar{G}^{\text{bip}})$ is such that $\{u, v\} \subseteq V(G) \setminus (V(C_1) \cup N_{\bar{G}^{\text{bip}}}(V(C_1)))$. We may assume that x_1 and u lie in the same partite set of G . Now, $x_1 y_3, x_2 y_2, uv \notin E(G)$ and therefore $x_1 y_2 u y_3 x_2 v x_1$ is a chordless cycles of length 6 in G which is a contradiction and Claim 2 is proved (see the right part of Fig. 3). \square

We will now complete the proof of Proposition 2. If \bar{G}^{bip} is chordal bipartite, we are done. Hence, we may assume that \bar{G}^{bip} is not chordal bipartite. By Claim 1, \bar{G}^{bip} contains a chordless cycles C of length 6. Now for $X = V(C)$ Claim 2 implies the desired result and the proof is completed. \square

Our next auxiliary result is a generalization of a recent result of Alekseev [2] which states that for a connected F_2 -free bipartite graph G , either $\Delta(G) \leq 2$ or $\Delta(\bar{G}^{\text{bip}}) \leq 1$.

Proposition 3. For $k \geq 3$ let G be a connected F_k -free bipartite graph, then either $\Delta(G) \leq k(k-1)$ or $\Delta(\bar{G}^{\text{bip}}) \leq (k-1)^3$.

Proof. We may assume that G is a connected F_k -free bipartite graph such that $\Delta(G) \geq k(k-1) + 1$. Consider a vertex u with $d_G(u) = \Delta(G)$ and for $i = 1, 2, \dots$ let A_i denote the set of vertices of G at distance i from u . Note that $|A_1| = \Delta(G) \geq k(k-1) + 1$ and that for $i \geq 1$, A_i is an independent set of vertices.

Claim 1. Every vertex in A_2 is non-adjacent to at most $k-1$ vertices of A_1 .

Proof. For contradiction we assume that $w \in A_2$, $v_0 \in A_1 \cap N_G(w)$ and $v_1, v_2, \dots, v_k \in A_1 \setminus N_G(w)$. Now the set $\{u, v_0, v_1, \dots, v_k, w\}$ induces a k -fork, which is a contradiction. \square

Claim 2. Every vertex in A_1 is non-adjacent to at most $k-1$ vertices of A_2 .

Proof. For contradiction we assume that $v \in A_1$ and $w_1, w_2, \dots, w_k \in A_2 \setminus N_G(v)$. Since

$$\left| A_1 \cap \bigcap_{i=1}^k N_G(w_i) \right| \geq k(k-1) + 1 - k(k-1) \geq 1,$$

there is a vertex $v' \in A_1 \cap \bigcap_{i=1}^k N_G(w_i)$ and hence the set $\{u, v, v', w_1, w_2, \dots, w_k\}$ induces a k -fork, which is a contradiction. \square

Claim 3. Every vertex in A_3 is non-adjacent to at most $k-2$ vertices of A_2 .

Proof. For contradiction we assume that $x \in A_3$, $w_0 \in A_2 \cap N_G(x)$ and $w_1, w_2, \dots, w_{k-1} \in A_2 \setminus N_G(x)$. As in the proof of Claim 2 we obtain that

$$\left| A_1 \cap \bigcap_{i=0}^{k-1} N_G(w_i) \right| \geq k(k-1) + 1 - k(k-1) \geq 1.$$

Hence there is a vertex $v \in A_1 \cap \bigcap_{i=0}^{k-1} N_G(w_i)$ and therefore the set $\{u, v, w_0, w_1, w_2, \dots, w_{k-1}, x\}$ induces a k -fork, which is a contradiction. \square

Claim 4. Every vertex in A_2 is adjacent to at most $k-1$ vertices of A_3 .

Proof. For contradiction we assume that $w \in A_2$ and $x_1, x_2, \dots, x_k \in A_3 \cap N_G(w)$. If $v \in A_1 \cap N_G(w)$, then the set $\{u, v, w, x_1, x_2, \dots, x_k\}$ induces a k -fork, which is a contradiction. \square

Claim 5. $A_i = \emptyset$ for $i \geq 4$.

Proof. For contradiction we assume that $y \in A_4$, $x \in A_3 \cap N_G(y)$ and $w \in A_2 \cap N_G(x)$. By Claim 1, we have $|A_1 \cap N_G(w)| \geq k(k-1) + 1 - (k-1) \geq k$. Hence there are vertices $v_1, v_2, \dots, v_k \in A_1 \cap N_G(w)$ and hence the set $\{y, x, w, v_1, v_2, \dots, v_k\}$ induces a k -fork, which is a contradiction. \square

Claim 6. If $|A_2| \geq k(k-2) + 1$, then $|A_3| \leq k-1$.

Proof. For contradiction we assume that $|A_2| \geq k(k-2) + 1$ and that $x_1, x_2, \dots, x_k \in A_3$. By Claim 3, we have

$$\left| A_2 \cap \bigcap_{i=1}^k N_G(x_i) \right| \geq k(k-2) + 1 - k(k-2) \geq 1.$$

Hence there is a vertex $w \in A_2 \cap \bigcap_{i=1}^k N_G(x_i)$. If $v \in A_2 \cap N_G(w)$, then the set $\{u, v, w, x_1, x_2, \dots, x_k\}$ induces a k -fork, which is a contradiction.

Now, if $|A_2| \geq k(k-2) + 1$, then, by Claim 6, $|A_3| \leq k-1$ and we obtain

$$\Delta(\bar{G}^{\text{bip}}) \leq 2(k-1) \leq (k-1)^3.$$

Hence we assume that $|A_2| \leq k(k-2)$. Since every vertex in A_3 has a neighbor in A_2 , we obtain, by Claim 4, that $|A_3| \leq (k-1)|A_2| \leq k(k-1)(k-2)$. This finally implies that

$$\Delta(\bar{G}^{\text{bip}}) \leq (k-1) + k(k-1)(k-2) = (k-1)^3$$

and the proof is completed. \square

Our last preparatory result concerns chordal bipartite graphs G such that either $\Delta(G)$ or $\Delta(\bar{G}^{\text{bip}})$ is small.

Proposition 4. Let Δ be a positive integer and let G be a chordal bipartite graph such that either $\Delta(G) \leq \Delta$ or $\Delta(\bar{G}^{\text{bip}}) \leq \Delta$. Then the clique-width of G is bounded by some constant c depending on Δ and a c -expression defining G can be constructed in polynomial time.

Proof. If $\Delta(G) \leq \Delta$, then the desired result follows from Corollary 1. The same is true if $\Delta(\bar{G}^{\text{bip}}) \leq \Delta$ and \bar{G}^{bip} is chordal bipartite. Now we consider the case when $\Delta(\bar{G}^{\text{bip}}) \leq \Delta$ and \bar{G}^{bip} is not a chordal bipartite graph.

By Proposition 2, there is a set U of at most $6 + 6(\Delta - 2) = 6(\Delta - 1)$ vertices such that $V(G) \setminus U$ is an independent set in \bar{G}^{bip} . Hence, by Theorem 1 and inequality (1),

$$\text{tw}(\bar{G}^{\text{bip}}) \leq |U| + \text{tw}(\bar{G}^{\text{bip}} - U) \leq 6(\Delta - 1) + 0.$$

Now inequality (3) implies that $\text{cw}(\bar{G}^{\text{bip}})$ is bounded by some constant depending on Δ . Finally, Proposition 1 implies the desired result and the proof is completed. \square

We now combine the above propositions to obtain our second main result.

Theorem 2. For $k \geq 3$, the clique-width of a k -fork-free chordal bipartite graph G is bounded by a constant c depending on k , and a c -expression defining G can be constructed in polynomial time.

Proof. Let G be a k -fork-free chordal bipartite graph and let G_1, G_2, \dots, G_p be the connected components of G . Obviously,

$$\text{cw}(G) = \max\{\text{cw}(G_1), \text{cw}(G_2), \dots, \text{cw}(G_p)\}.$$

Therefore, we may assume that G is connected.

By Proposition 3, we have that either $\Delta(G) \leq k(k-1) \leq (k-1)^3$ or $\Delta(\bar{G}^{\text{bip}}) \leq (k-1)^3$ and Proposition 4 implies the desired result. \square

4. Conclusion and open problems

In this paper we proved that for any positive integer k , chordal bipartite graphs without an induced $K_{1,k+1}$ (i.e. of vertex degree at most k) have bounded tree-width. We extended this result by showing that F_k -free chordal bipartite graphs have bounded clique-width, where F_k is the graph obtained by a single subdivision of exactly one edge of a $K_{1,k+1}$. A natural question arises: Is it possible to extend these results to larger subclasses of chordal bipartite graphs defined by forbidding a graph obtained from $K_{1,k+1}$ by subdividing more than one edge?

Let us denote by $S_{i,j,k}$ a tree with exactly three vertices of degree one of distance i, j, k from the only vertex of degree three. If we subdivide at least three edges of a $K_{1,k+1}$, then we obtain a graph that contains $S_{2,2,2}$ as an induced subgraph. The class of $S_{2,2,2}$ -free chordal bipartite graphs contains all bipartite permutation graphs [8] and hence is not of bounded clique-width. From this observation it follows that the above question remains open only if we subdivide exactly two edges of a $K_{1,k+1}$.

Let E_k denote the graph obtained by single subdivisions of two edges of a $K_{1,k+1}$. In particular, $E_2 = S_{1,2,2}$. It is known [21] that for $k=2$, the clique-width of E_k -free bipartite graphs is bounded. This result cannot be extended to larger values of k without additional restrictions, because the class of E_3 -free bipartite graphs contains all bipartite graphs of vertex degree at most three. We conjecture that E_k -free chordal bipartite graphs have bounded clique-width for any particular value of k .

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